

COMMON AWARDS FOR FINANCIAL ADVISORS



AWARDS FROM BARRON'S

WHAT YOU GET: Those selected for ranking have the opportunity to be published in print in Barron's and The Wall Street Journal.

HOW YOU GET THEM: For a Barron's ranking, you will first need to fill out an application at barrons-advisor.com. After submitting the initial request, they will follow up with qualified applicants with a 102-question survey, covering topics such as assets, revenue, and quality of practice.

WHAT THEY COST: There is no fee to apply.

WHY YOU SHOULD CARE: In addition to spotlighting advisors performing in the top tiers of the financial services industry, Barron's recognition also allows individuals who are providing above and beyond service an opportunity to stand out as someone worth working with. Known for celebrating the healthy side of wealth management and raising the bar on industry standards, Barron's has over two decades of credibility backing their organization, and it's credibility that both other advisors and the public acknowledge and respect.



AWARDS FROM FORBES



WHAT YOU GET: Those selected for ranking will be listed publicly across the Forbes platform, in addition to receiving licensing permission for a recognition-specific logo that can be used for marketing and promotional purposes.

HOW YOU GET THEM: After being nominated by their firm, advisors with at least 7 years of experience (including at least 1 year of experience with their current firm), who hold an acceptable compliance record and maintain at least 50% production/revenue working with individuals, will be invited to complete an online survey. In addition to the self-reported data gathered on the survey, Forbes continues to screen candidates via additional surveys and interviews (in-person, online, and virtual) until narrowing their list to the finalists proven to meet all aspects of industry standards while delivering quality service to their clients.

WHAT THEY COST: There is no fee to apply.

WHY YOU SHOULD CARE: The Forbes ranking lists are compiled by using a mix of qualitative and quantitative data that focuses on capturing the full picture of each wealth manager included. This means to be included on this list you have been thoroughly vetted and approved by an organization whose name is recognized by nearly every individual as the leading information reporter in the financial services industry.



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FIVE STAR WEALTH MANAGER

WHAT YOU GET: Individuals chosen for recognition receive licensing permissions for the Five Star Wealth Management recipient logo for marketing/promotional purposes in addition to being published on the Five Star Professional platforms.

HOW YOU GET IT: Wealth management professionals who are licensed, have at least 5 years experience working as a RIA-Representative or as Principal of a RIA firm, with a positive history of compliance and regulatory review, are accepting new clients, and have fulfilled their firms review are eligible to receive Five Star Wealth Management recognition.

WHAT IT COSTS: There is no fee to apply.

WHY YOU SHOULD CARE: Five Star Professionals conducts research in more than 45 different markets, making it one of the largest and widely published recognition programs in North America. To be included on this list signals to clients and other advisors in the industry that you have met the standards of criteria across ten different categories of service, and that you have been found to be someone who excels in them all, since the recognition process for this award is intentionally designed to catch the widest net of candidates possible.



NAIFA QUALITY AWARD

WHAT YOU GET: Recipients of the NAIFA Quality Award receive licensing permissions for the NAIFA Quality Award logo as well as public recognition across the NAIFA platforms.

HOW YOU GET IT: Individuals who are already members of NAIFA can apply for Quality recognition at tdc.naifa.org.

WHAT IT COSTS: \$40 to apply.

WHY YOU SHOULD CARE: As with other awards/recognition in the industry, the NAIFA Quality award demonstrates the recipient's ability to maintain and provide excellence service to their clients in an ethical manner. NAIFA as an organization focuses most on upholding these tenants in the industry and seeks to recognize individuals other advisors and clients alike can look to as a model of the positive side of wealth management.

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MDRT ACHIEVEMENT RECOGNITION

WHAT YOU GET: Being awarded MDRT membership gives individuals access to a plethora of informational materials, including a global network of top ranking advisors, online resources such as Round the Table magazine and the MDRT newsletter, and collaborative and informative meetings. Members of MDRT have the opportunity to earn status levels based on production.

HOW YOU GET IT: Individuals interested in joining the Million Dollar Round Table can apply online at join.mdr.org. Eligibility requirements include minimums of Commission USD 61,000, Income USD 105,000, and Premium USD 122,000; as well as membership with another association, and a proven ethics and compliance history.

WHAT IT COSTS: While there is no fee to apply, annual membership dues of \$550 are required.

WHY YOU SHOULD CARE: In addition to the recognition and credibility belonging to the Million Dollar Round Table provides, the educational resources, greater access to industry leaders for collaboration, and general growth focused goal of the organization are helpful tools for advisors looking to further their careers and the industry standards of service across the globe. Additionally, MDRT is recognized as a global standard for performance, and achievement of the varying levels of production is widely recognized by peers and prospects.



WOMEN'S CHOICE FINANCIAL ADVISOR

WHAT YOU GET: Access to the specialized WCAFA marketing team, as well as public recognition on the Women's Choice website, searchable by name and location.

HOW YOU GET IT: Those interested in receiving Women's Choice recognition can apply online at womenschoiceaward.com, where the comprehensive list of qualifications can also be found.

WHAT IT COSTS: There is no fee to apply.

WHY YOU SHOULD CARE: The Women's Choice Award intentionally targets the spotlight on advisors who have a proven history of above and beyond performance for women investors. As noted on their website, women are in control of more than half of the wealth in the United States currently, and individuals with this recognition stand out in the competitive market.